



DEPUTY SECRETARY OF DEFENSE  
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WASHINGTON, DC 20301-1010

SEP 25 2013

MEMORANDUM FOR SECRETARIES OF THE MILITARY DEPARTMENTS  
CHAIRMAN OF THE JOINT CHIEFS OF STAFF  
UNDER SECRETARIES OF DEFENSE  
DEPUTY CHIEF MANAGEMENT OFFICER  
COMMANDERS OF THE COMBATANT COMMANDS  
DIRECTOR, COST ASSESSMENT AND PROGRAM EVALUATION  
DIRECTOR, OPERATIONAL TEST AND EVALUATION  
GENERAL COUNSEL OF THE DEPARTMENT OF DEFENSE  
INSPECTOR GENERAL OF THE DEPARTMENT OF DEFENSE  
ASSISTANT SECRETARIES OF DEFENSE  
DEPARTMENT OF DEFENSE CHIEF INFORMATION OFFICER  
ASSISTANTS TO THE SECRETARY OF DEFENSE  
DIRECTOR, ADMINISTRATION AND MANAGEMENT  
DIRECTOR, NET ASSESSMENT  
DIRECTORS OF THE DEFENSE AGENCIES  
DIRECTORS OF THE DOD FIELD ACTIVITIES

SUBJECT: Guidance for Continuation of Operations in the Absence of Available Appropriations

Appropriations provided under the Consolidated and Further Continuing Appropriations Act, 2013 (P.L. 13-6) expire at 11:59 PM on Monday, September 30, 2013. The Administration does not want a lapse in appropriations to occur. The Administration is willing to work with the Congress to enact a short-term continuing resolution (CR) to fund critical Government operations and allow Congress the time to complete the full year 2014 appropriations. The Secretary and I hope that the Congress will provide the Department with a CR or an appropriations bill for Defense activities during Fiscal Year (FY) 2014. However, prudent management requires that Department be prepared for the possibility of a lapse in appropriation.

The attachment to this memorandum provides instructions for continuation of essential operations in the absence of appropriated funds. The Department will, of course, continue to prosecute the war in Afghanistan, including preparation of forces for deployment into that conflict. The Department must, as well, continue many other operations necessary for the safety of human life and protection of property, including operations essential for the security of our Nation. (A copy of military operations necessary for national security will be supplied separately.) These activities will be "excepted" from the results of a lapse in appropriations: all other activities would need to be shut down in an orderly and deliberate fashion, including – with few exceptions – the cessation of temporary duty travel.

All military personnel will continue in a normal duty status regardless of their affiliation with excepted or non-excepted activities. Military personnel will not be paid until such time as Congress



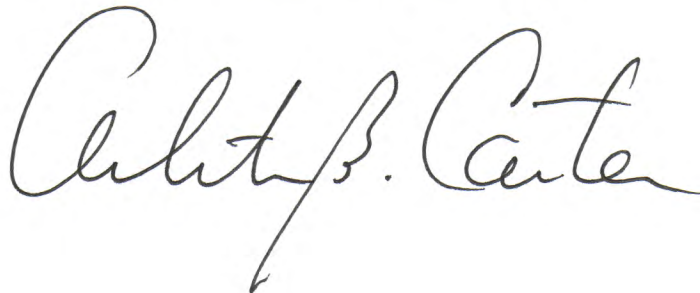
makes appropriated funds available to compensate them for this period of service. Civilian personnel who are engaged in excepted activities will also continue in normal duty status and also will not be paid until Congress makes appropriated funds available. Civilian employees not engaged in excepted activities will be furloughed, i.e., placed in a non-work, non-pay status.

The responsibility for determining which functions would be excepted from shut down resides with the Secretaries of the Military Department and Heads of the DoD Components, who may delegate this authority as they deem appropriate. The attached guidance should be used to assist in making this determination. The guidance does not identify every excepted activity, but rather provides overarching direction and general principles for making these determinations. It should be applied prudently in the context of a Department at war, with decisions guaranteeing our continued robust support for those engaged in that war, and providing assurance that the lives and property of our Nation's citizens will be protected.

This memorandum contains guidance to begin detailed planning. No shutdown actions are to be taken until you receive further notice.

Within the Office of the Secretary of Defense, the Under Secretary of Defense (Comptroller) will take the lead in preparing for operations in the absence of appropriations, assisted by other offices as necessary.

To repeat, the Secretary and I hope that Department of Defense will receive a CR or the appropriations bill. This guidance is intended to support prudent planning.

A handwritten signature in cursive script, reading "Robert B. Carter". The signature is written in black ink and is positioned in the center of the page, below the main body of text.

Attachment:  
As stated

cc:  
Director for National Intelligence